

06-25-01

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JC962 U.S. PTOPlease type a plus sign (+) inside this box → PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	BAL-17-CON2 (BA-00136.2)
First Inventor	ALLAN F. WILLIS ET AL.
Title	CATHETER WITH DISTALLY DISTENDING BALLOON
Express Mail Label No.	EL833944693US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 15]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]

5. Oath or Declaration [Total Pages]

a. Newly executed (original or copy)

b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)

- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

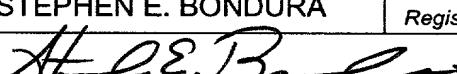
Continuation Divisional Continuation-in-part (CIP)
Prior application information. Examiner: S. KENNEDY

of prior application No.: 09,372,133
Group Art Unit: 3763

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	<input type="checkbox"/> Insert Customer No. or Attach bar code label (if used)			<input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below
Name	STEPHEN E. BONDURA, ESQ.			
Address	DORITY & MANNING, P.A. P.O. BOX 1449			
City	GREENVILLE	State	SC	Zip Code
Country	USA	Telephone	864-271-1592	Fax

Name (Print/Type)	STEPHEN E. BONDURA	Registration No. (Attorney/Agent)	35,070
Signature			Date

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11046 U.S. PTO

09/887279

06/22/01

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

710.00

Complete if Known

Application Number	NOT YET ASSIGNED
Filing Date	June 22, 2001
First Named Inventor	ALLAN F. WILLIS ET AL.
Examiner Name	UNKNOWN
Group Art Unit	UNKNOWN
Attorney Docket No.	BAL-17-CON2 (BA-00136.2)

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **04-1403**Deposit Account Name **Dority & Manning, Attorneys at Law, P.A.** Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.272. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	\$710.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)		(\$)		710.00	

2. EXTRA CLAIM FEES

Total Claims	5	-20** =	<input type="text"/>	X <input type="text"/>	= <input type="text"/>	Fee from below	Fee Paid
Independent Claims	2	- 3** =	<input type="text"/>	X <input type="text"/>	= <input type="text"/>		
Multiple Dependent							

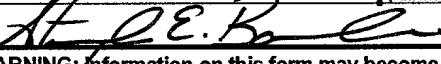
Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
109	80	209	40	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)		(\$)		0.00	

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid		SUBTOTAL (3)		(\$)	0.00

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	STEPHEN E. BONDURA	Registration No. (Attorney/Agent)	35,070	Telephone 864-271-1592
Signature			Date	06/22/2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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ATTORNEY DOCKET NO.: BAL-17-CON2 (BA-00136.2)

EXPRESS MAIL CERTIFICATE OF MAILING

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of) Examiner: UNKNOWN
ALLAN F. WILLIS ET AL.)
Serial No.: NOT YET ASSIGNED) Art Unit: UNKNOWN
)
Filed: HEREWITH)
)
For: CATHETER WITH DISTALLY)
DISTENDING BALLOON)

"Express Mail" - Mailing Label Number EL833944693US

Date of Deposit JUNE 22, 2001

I hereby certify that this paper and all attachments and any fee are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Box PATENT APPLICATION, Washington D.C. 20231.

Jacqueline M. Leonard
(Typed or printed name of person mailing paper or fee)

Jacqueline M. Leonard
(Signature of person mailing paper or fee)